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Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. **Application Number** 10/702,294 TRANSMITTAL **Filing Date** November 6, 2003 **FORM First Named Inventor** Dr. Gerd Ulbers (to be used for all correspondence after initial filing) Group Art Unit Examiner Name Total Number of Pages in This Submission Attorney Docket Number KEL 008 P2 **ENCLOSURES** (check all that apply) Fee Transmittal Form Assignment Papers After Allowance Communication (for an Application) Fee Attached Appeal Communication to Board Drawing(s) of Appeals and Interferences Licensing-related Papers Amendment / Reply Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) After Final Petition Proprietary Information Petition to Convert to a Affidavits/declaration(s) Provisional Application Power of Attorney, Revocation Change of Correspondence Address Status Letter Other Enclosure(s) (please Extension of Time Request identify below): Terminal Disclaimer **Express Abandonment Request** Return Postcard Request for Refund Foreign Prior Art References Χ Information Disclosure Statement CD, Number of CD(s)_ Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm MATTHEW R. JENKINS Individual name Signature Date CERTIFICATE OF MAILING I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 2-6-04 Celeste kelsen Typed or printed name Signature Colver

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Inventors

: Dr. Gerd Ulbers

Serial No. Filed

: 10/702,294

Title

: November 6, 2003

Docket

: Device for Viewing an Eye : KEL 008 P2

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

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INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.97(b)

Pursuant to the provisions of 37 CFR §1.97(b), Applicant(s) wishes to disclose the materials listed below and on the attached form PTO/SB/08A which the Examiner may find relevant to the examination of the above-identified patent application. Pursuant to the Official Gazette Notice of August 5, 2003, waiving the requirements under 37 CFR §1.98(a)(2)(i), Applicant(s) believe copies of any U.S. patents or U.S. patent applications are not required. Copies of any Foreign or Non-Patent references are enclosed herewith.

U.S. Patent No.	Issued	Inventor (s)	Title
3,850,527	11-26-1974	Winthrop et al.	Apparatus and method for detection and viewing transparent objects in the vitreous humor
4,063,806	12-20-1977	LeVantine	Coaxial ophthalmoscope arrangement
5,608,472	03-04-1997	Szirth, et al.	Eye imaging system
6,474,815	11-05-2002	Ulbers, et al.	Device for the stereoscopic examination of a patient's eye
2001/0028441	10-11-2001	Okamoto, et al.	Ophthalmologic apparatus

Foreign References:

Country	Dublication No.	Publication		
Country	Publication No.	<u>Date</u>	<u>Applicant</u>	
WO	99/23937	11-11-1998	Ulbers et al	

WO	01/60241	08-23-2001	Marshall
wo	01/87146	02-05-2001	Barker

The filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56(b). By filing this statement, Applicant(s) is not making any representation as to whether any of the references referred to herein are material. Further, Applicant(s) cites these references without prejudice, and Applicant(s) reserves the right to contest the status of these references as prior art.

The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. 1.16 and 1.17 which may be required by this paper, or to credit any overpayment, to **Deposit Account No. 50-1287**. Applicant(s) hereby provides a general request for any extension of time which may be required at any time during the prosecution of the application. The Commissioner is also authorized to charge any fees which have not been previously paid for by check and which are required during the prosecution of this application to **Deposit Account No. 50-1287**. (Should Deposit Account No. 50-1287 be deficient, please charge any further deficiencies to Deposit Account No. 10-0220).

Respectfully submitted,

JACOX, MECKSTROTH & JENKINS

Matthew R. Jenkins Reg. No. 34,844

2310 Far Hills Building Dayton, OH 45419-1575 (937) 298-2811

February 3, 2004

PTO/SB/08A (08-03)

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Sheet 1

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	10/702294			
Filing Date	November 6, 2003			
First Named Inventor	Dr. Gerd Ulbers			
Art Unit				
Examiner Name				
Attorney Docket Number	KEL 008 P2			

U. S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
4		^{US-} 3,850,527	11-26-1974	Winthrop et al.	1
		^{US-} 4,063,806	12-20-1977	LeVantine	1
		^{US-} 5,608,472	03-04-1997	Szirth, et al.	1, 6
- '		^{US-} 6,474,815	11-05-2002	Ulbers, et al.	1, 9, 10
		^{US-} 2001/0028441	10-11-2001	Okamoto, et al.	1, 9, 10
		US-			
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		US-			
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		FORE	IGN PATENT DOCL	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		WO 99/23937	11-11-1998	Ulbers et al.		
		WO 01/60241	08-23-2001	Marshall	1-4, 9, 10	
		WO 01/87146	02-05-2001	Barker		<u> </u>
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Signature	1.	Considered	

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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